<table>
<thead>
<tr>
<th>Section</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Throughout</td>
<td>Updated logos and color scheme. Specified that dry ice for shipments must be pelleted.</td>
</tr>
<tr>
<td>6.1</td>
<td>Removed Biohazard label from Frozen Shipping Kit contents.</td>
</tr>
<tr>
<td>7.3</td>
<td><strong>Updated processing time to 2 hours.</strong></td>
</tr>
<tr>
<td>7.1</td>
<td>Added picture showing correct label placement of labels on PBMC and PAXGene tubes to ensure buffy coat is visible during processing.</td>
</tr>
<tr>
<td>7.2</td>
<td>Specified to release tourniquet when filling last collection tube.</td>
</tr>
<tr>
<td>7.3</td>
<td>Specified to release tourniquet when filling last collection tube.</td>
</tr>
<tr>
<td>7.4</td>
<td><strong>Updated processing time to 2 hours.</strong> Specified to release tourniquet when filling last collection tube.</td>
</tr>
<tr>
<td>7.5</td>
<td>Specified to release tourniquet when filling last collection tube.</td>
</tr>
<tr>
<td>8.3</td>
<td><strong>Updated processing time to 2 hours.</strong></td>
</tr>
<tr>
<td>9.2.1</td>
<td>Noted maximum capacity of frozen shippers. Maximum 3 kits per small shipper. Maximum 8 kits per large shipper.</td>
</tr>
</tbody>
</table>
APOE in the Predisposition to, Protection From, and Prevention of Alzheimer's Disease

in collaboration with the

National Centralized Repository for Alzheimer’s Disease and Related Dementias

Biospecimen Collection, Processing, and Shipment Manual of Procedures

Version 04.2024
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9.2.1 NCRAD Packaging Instructions – Frozen Shipments

9.3 Ambient and Frozen Shipping Instructions

10.0 Data Queries and Reconciliation

11.0 Appendices

Appendix A: Rate of Centrifuge Worksheet

Appendix B: Blood Sample and Shipment Notification Form

Appendix C: CSF Sample and Shipment Notification Form

Appendix D: GUID Demographics Form
## 1.0 Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AD</td>
<td>Alzheimer's Disease</td>
</tr>
<tr>
<td>APOE</td>
<td>Apolipoprotein E</td>
</tr>
<tr>
<td>CSF</td>
<td>Cerebrospinal Fluid</td>
</tr>
<tr>
<td>DNA</td>
<td>Deoxyribonucleic Acid</td>
</tr>
<tr>
<td>EDTA</td>
<td>Ethylene Diamine Tetra-acetic Acid</td>
</tr>
<tr>
<td>IATA</td>
<td>International Air Transport Association</td>
</tr>
<tr>
<td>LP</td>
<td>Lumbar Puncture</td>
</tr>
<tr>
<td>NACC</td>
<td>National Alzheimer's Coordinating Center</td>
</tr>
<tr>
<td>NaHep</td>
<td>Sodium Heparin</td>
</tr>
<tr>
<td>NCRAD</td>
<td>National Centralized Repository for Alzheimer's Disease and Related Dementias</td>
</tr>
<tr>
<td>PBMC</td>
<td>Peripheral Blood Mononuclear Cell</td>
</tr>
<tr>
<td>RBC</td>
<td>Red Blood Cells</td>
</tr>
<tr>
<td>RCF</td>
<td>Relative Centrifugal Force</td>
</tr>
<tr>
<td>RNA</td>
<td>Ribonucleic Acid</td>
</tr>
<tr>
<td>RPM</td>
<td>Revolutions Per Minute</td>
</tr>
</tbody>
</table>
2.0 Purpose

The collection of biofluids is an important part of the Arizona APOE Study. The purpose of this manual is to provide study staff (PIs, study coordinators, phlebotomists) at the various study sites with instructions for collection and submission of biological samples for APOE study visits. It includes instructions for biofluid submission to NCRAD located in Indianapolis at Indiana University.

The following samples will be sent to NCRAD:

- PBMC
- Plasma
- Buffy Coat (DNA Extraction)
- Serum
- RNA
- CSF

This manual includes instructions for collection of blood and CSF, fractionation of blood from collection tubes, aliquoting, labeling, storage prior to shipping, and shipping to NCRAD.

These procedures are relevant to all study personnel responsible for processing specimens provided to NCRAD for the APOE protocol.
3.0 NCRAD Information

3.1 NCRAD Contacts

Tatiana Foroud, PhD, Core Leader
Phone: 317-274-2218

Kelley Faber, MS, CCRC, Senior Project Manager
Phone: 317-274-7360
Email: kelfaber@iu.edu

Stephanie Steidel, MS, Clinical Research Coordinator
Phone: 317-274-1685
Email: ssteidel@iu.edu

General NCRAD Contact Information
Phone: 1-800-526-2839 or 317-278-8413
Fax: 317-321-2003
Email: alzstudy@iu.edu
Website: www.ncrad.org

Sample Shipment Mailing Address
APOE at NCRAD
Indiana University School of Medicine
351 W. 10th St. TK-217
Indianapolis, IN 46202
Phone: 1-800-526-2839

3.2 NCRAD Hours of Operation

Indiana University business hours are from 8 AM to 5 PM Eastern Time, Monday through Friday.

Ambient samples must be shipped **Monday-Thursday only**.

Frozen samples must be shipped **Monday-Wednesday only**.

For packing and shipment details of samples, please refer to [Section 9.0](#) of this protocol.

Check the weather report to make sure impending weather events (blizzards, hurricanes, etc.) will not impact the shipping or delivery of the samples.
3.3 NCRAD Holiday Observations

<table>
<thead>
<tr>
<th>Date</th>
<th>Holiday</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 1</td>
<td>New Year’s Day</td>
</tr>
<tr>
<td>3rd Monday in January</td>
<td>Martin Luther King, Jr Day</td>
</tr>
<tr>
<td>4th Monday in May</td>
<td>Memorial Day</td>
</tr>
<tr>
<td>June 19</td>
<td>Juneteenth</td>
</tr>
<tr>
<td>July 4</td>
<td>Independence Day</td>
</tr>
<tr>
<td>1st Monday in September</td>
<td>Labor Day</td>
</tr>
<tr>
<td>4th Thursday in November</td>
<td>Thanksgiving</td>
</tr>
<tr>
<td>4th Friday in November</td>
<td>Friday after Thanksgiving</td>
</tr>
<tr>
<td>December 25</td>
<td>Christmas Day</td>
</tr>
</tbody>
</table>

Please note that between December 24th and January 2nd, Indiana University will be open Monday through Friday for essential operations ONLY and will re-open for normal operations on January 2nd. If possible, biological specimens for submission to Indiana University should NOT be collected and shipped to Indiana University after the second week in December. Should it be necessary to ship blood samples for DNA extraction to Indiana University during this period, please contact the Indiana University staff before December 20th by e-mailing alzstudy@iu.edu, so that they can arrange to have staff available to process incoming samples. Please see: https://ncrad.org/holiday_closures.html for additional information.

- Please note that courier services may observe a different set of holidays.
- Please be sure to verify shipping dates with your courier prior to any holiday.
- Weekend/holiday delivery must be arranged in advance with NCRAD staff.
4.0 Globally Unique Identifier (GUID)

The GUID is a participant ID that allows researchers to share data specific to a study participant, without exposing personally identifiable information. A GUID is made up of random alpha-numeric characters and does not include any PHI in the identifier. By using GUIDs in your research data, the system can associate a single research participant’s genetic, imaging, and clinical assessment data even if the data was collected at different locations or throughout different studies.

To create a GUID follow these steps:
1. Create an account: https://bricsguid.nia.nih.gov/portal/jsp/login.jsp
2. Once you have an account, go to the GUID Tool – Create GUID
3. To open the ‘Launch GUID Tool’ you will need to have Java installed on your device
4. In order to generate a GUID, the following PHI is required (Appendix D):
   - Complete legal given (first) name of participant at birth
   - If the participant has a middle name
   - Complete legal family (last) name of participant at birth
   - Day of birth
   - Month of birth
   - Year of birth
   - Name of city/municipality in which participant was born
   - Country of birth

5.0 APOE Laboratory Collection

5.1 Site Required Equipment

The following materials and equipment are necessary for the processing of specimens at the collection site and are to be supplied by the local site:

- Personal Protective Equipment: lab coat, nitrile/latex gloves, safety glasses
- Tourniquet
- Alcohol Prep Pad
- Gauze Pad
- Bandage
- Butterfly needles and hub
- Microcentrifuge tube rack
- Sharps bin and lid
- Wet Ice Bucket
- Wet ice
- Pelleted dry ice
In order to process samples consistently across all projects and ensure the highest quality samples possible, project sites must have access to the following equipment:

- Centrifuge capable of $\geq 2000 \times g$ with refrigeration to 4°C
- -80°C Freezer

In order to ship specimens, you must provide:

- Pelleted dry ice (approximately 45 lbs per shipment)

5.2 Biospecimens Sent to NCRAD

Samples are to be submitted according to the shipping methods outlined in Section 9.0. Guidelines for the processing, storage location, and timing of sample collection are listed in the following tables.

5.2.1 Biofluid Collection Schedule

<table>
<thead>
<tr>
<th>Biospecimen</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
</tr>
</thead>
<tbody>
<tr>
<td>PBMC</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Plasma</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Buffy Coat (DNA)</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Serum</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>RNA</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>CSF</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

Whole blood is collected in four different types of collection tubes for ship to NCRAD:

- 2: 10 ml Green-Top Sodium Heparin (Nahep) Tubes
- 2: 10 ml Purple-Top EDTA Tubes
- 1: 10 ml Red-Top Serum Tube
- 1: 2.5 ml PAXgene™ Tube

Sodium Heparin Tubes are shipped to NCRAD on the day of the participant visit (Monday through Thursday only). The 10 ml EDTA and plain red-top serum tubes are processed locally into plasma, buffy coat, and serum fractions; they are then aliquotted, frozen at the study site, and shipped to NCRAD. The PAXgene™ tube is frozen locally without further processing and shipped to NCRAD.

Consent forms must specify that any biological samples and de-identified clinical data may be shared with academic and/or industry collaborators through NCRAD. A copy of the consent form for each participant should be kept on file by the site investigator.
5.2.2 Biofluid Collection Charts

<table>
<thead>
<tr>
<th>Collection Tube</th>
<th>Drawn At</th>
<th>Specimen Type</th>
<th>Aliquot Volume</th>
<th>Total Number of Aliquots</th>
<th>Shipping Temperature</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 Sodium Heparin (Green-Top) Blood Collection Tubes (10 ml)</td>
<td>All Visits</td>
<td>Whole Blood</td>
<td>N/A</td>
<td>N/A</td>
<td>Ambient</td>
</tr>
<tr>
<td>2 EDTA (Purple-Top) Blood Collection Tubes (10 ml)</td>
<td>All Visits</td>
<td>Plasma</td>
<td>1.5 ml plasma aliquots</td>
<td>Up to 7</td>
<td>Frozen</td>
</tr>
<tr>
<td></td>
<td>All Visits</td>
<td>Buffy Coat</td>
<td>~1.0 mluffy coat aliquots</td>
<td>2</td>
<td>Frozen</td>
</tr>
<tr>
<td>1 Serum (Red-Top) Blood Collection Tubes (10 ml)</td>
<td>All Visits</td>
<td>Serum</td>
<td>1.5 ml serum aliquots</td>
<td>Up to 4</td>
<td>Frozen</td>
</tr>
<tr>
<td>1 PAXgene™ Blood Collection Tube (2.5 ml)</td>
<td>All Visits</td>
<td>Whole Blood</td>
<td>N/A</td>
<td>N/A</td>
<td>Frozen</td>
</tr>
<tr>
<td>Sterile Container</td>
<td>Every 2 years</td>
<td>CSF</td>
<td>1.5 ml CSF aliquots</td>
<td>Up to 20</td>
<td>Frozen</td>
</tr>
</tbody>
</table>

6.0 Specimen Collection Kits, Shipping Kits, and Supplies

NCRAD will provide: 1) Blood sample collection kits for research specimens to be stored at NCRAD, the Blood Supplemental Supply Kit, the Frozen Shipment Kit and Ambient Shipping Kit; 2) CSF collection kits including Lumbar Puncture (LP) trays, the CSF Supplemental Supply Kit; and 3) clinical lab supplies (with the exception of pelleted dry ice and equipment supplies listed in Section 5.1). The provided materials include blood tubes, pipettes, LP trays (when applicable), boxes for serum/plasma/buffy coat/CSF aliquots, as well as partially completed shipping labels to send materials to NCRAD. Kit Number Labels, APOE ID Labels, Collection Tube Labels, and Cryovial Labels will all be provided by NCRAD. Details regarding the blood and CSF Kits are found in this Manual of Procedures. Collection Tube and Cryovial Labels will be pre-printed with study information specific to the type of sample being drawn. Ensure that all tubes are properly labeled during processing and at the time of shipment according to Section 7.1.

6.1 NCRAD Specimen Collection Kit Contents

Collection kits contain the following (for each participant) and provide the necessary supplies to collect samples from a given participant. Do not replace or supplement any of the tubes or kit components provided with your own supplies unless you have received approval from the NCRAD Study team to do so. *Please store all kits at room temperature until use.*
## APOE Blood Kit

<table>
<thead>
<tr>
<th>Quantity</th>
<th>APOE Blood Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Sodium Heparin (green-top) blood collection tube (10 ml)</td>
</tr>
<tr>
<td>2</td>
<td>EDTA (purple-top) blood collection tube (10 ml)</td>
</tr>
<tr>
<td>1</td>
<td>Serum (red-top) blood collection tube (10 ml)</td>
</tr>
<tr>
<td>1</td>
<td>PAXgene™ blood collection tube (2.5 ml)</td>
</tr>
<tr>
<td>1</td>
<td>15 ml conical polypropylene tube (unwrapped)</td>
</tr>
<tr>
<td>6</td>
<td>Cryovial tube with purple cap (2.0 ml)</td>
</tr>
<tr>
<td>3</td>
<td>Cryovial tube with red cap (2.0 ml)</td>
</tr>
<tr>
<td>2</td>
<td>Cryovial tube (2.0 ml) with blue cap</td>
</tr>
<tr>
<td>2</td>
<td>Cryovial tube (2.0 ml) with gray cap</td>
</tr>
<tr>
<td>6</td>
<td>Pre-printed Collection Tube Label</td>
</tr>
<tr>
<td>13</td>
<td>Pre-printed Cryovial Label</td>
</tr>
<tr>
<td>3</td>
<td>Pre-printed Kit Number Label</td>
</tr>
<tr>
<td>7</td>
<td>Label for handwritten APOE ID</td>
</tr>
<tr>
<td>1</td>
<td>Cryovial box (holds up to 25 cryovials)</td>
</tr>
<tr>
<td>1</td>
<td>Bubble wrap tube sleeve for PAXgene™ tube</td>
</tr>
</tbody>
</table>

## APOE LP Kits*

*Sites must specify 22 or 24 gauge kit when ordering from NCRAD.

<table>
<thead>
<tr>
<th>Quantity</th>
<th>LP Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Sprotte needle, 22 or 24 gauge X 3.5” (90mm)</td>
</tr>
<tr>
<td>1</td>
<td>Introducer needle, 1 mm x 30 mm</td>
</tr>
<tr>
<td>1</td>
<td>Hypodermic needle, 22 gauge x 1.5”</td>
</tr>
<tr>
<td>1</td>
<td>Plastic syringe, (3 ml, luer lock) with 25G x 5/8” needle attached</td>
</tr>
<tr>
<td>4</td>
<td>Polypropylene syringe (5 ml, luer lock)</td>
</tr>
<tr>
<td>1</td>
<td>Needle stick pad</td>
</tr>
<tr>
<td>1</td>
<td>Adhesive bandage</td>
</tr>
<tr>
<td>1</td>
<td>Drape, fenestrated, 2 tabs, paper, 18” x 26”</td>
</tr>
<tr>
<td>2</td>
<td>Towel, 13.5” x 18”</td>
</tr>
<tr>
<td>6</td>
<td>Gauze pad, 2” x 2”</td>
</tr>
<tr>
<td>3</td>
<td>Sponge stick applicator</td>
</tr>
<tr>
<td>2</td>
<td>Lidocaine 1%, 5 ml</td>
</tr>
<tr>
<td>1</td>
<td>Povidone-Iodine Topical Solution, 0.75 oz</td>
</tr>
<tr>
<td>1</td>
<td>Medication transfer filter straw for Lidocaine</td>
</tr>
</tbody>
</table>

---

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### APOE CSF Kits

<table>
<thead>
<tr>
<th>Quantity</th>
<th>CSF Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>Cryovial tube (2.0 ml) with orange cap</td>
</tr>
<tr>
<td>1</td>
<td>Cryovial tube (2.0 ml) with yellow cap</td>
</tr>
<tr>
<td>1</td>
<td>Cryovial tube (2.0 ml) with blue cap</td>
</tr>
<tr>
<td>3</td>
<td>15 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>1</td>
<td>50 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>20</td>
<td>Pre-printed Cryovial Label</td>
</tr>
<tr>
<td>3</td>
<td>Pre-printed Kit Number label</td>
</tr>
<tr>
<td>3</td>
<td>Label for handwritten APOE ID</td>
</tr>
<tr>
<td>1</td>
<td>Cryovial box (holds up to 25 cryovials)</td>
</tr>
</tbody>
</table>

### Blood Supplemental Supply Kit

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Blood-Based Supplemental Supply Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>PAXgene™ Blood Collection Tube (2.5 ml)</td>
</tr>
<tr>
<td>5</td>
<td>Plain Red Top Serum (Red-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>10</td>
<td>Sodium Heparin (Green-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>10</td>
<td>EDTA (Purple-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>10</td>
<td>15 ml conical polypropylene tube (unwrapped)</td>
</tr>
<tr>
<td>30</td>
<td>Cryovial tube (2.0 ml) with purple cap</td>
</tr>
<tr>
<td>30</td>
<td>Cryovial tube (2.0 ml) with red cap</td>
</tr>
<tr>
<td>15</td>
<td>Cryovial tube (2.0 ml) with blue cap</td>
</tr>
<tr>
<td>10</td>
<td>Cryovial tube (2.0 ml) with gray cap</td>
</tr>
<tr>
<td>20</td>
<td>Disposable graduated transfer pipette (3 ml)</td>
</tr>
<tr>
<td>10</td>
<td>Bubble wrap tube sleeve for frozen blood tubes</td>
</tr>
<tr>
<td>10</td>
<td>Labels for handwritten APOE ID</td>
</tr>
<tr>
<td>5</td>
<td>Cryovial box (holds up to 25 cryovials)</td>
</tr>
</tbody>
</table>

### CSF Supplemental Supply Kit

<table>
<thead>
<tr>
<th>Quantity</th>
<th>CSF Supplemental Supply Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>50 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>20</td>
<td>15 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>20</td>
<td>Cryovial tube (2.0 ml) with orange cap</td>
</tr>
<tr>
<td>5</td>
<td>Cryovial tube (2.0 ml) with blue cap</td>
</tr>
<tr>
<td>5</td>
<td>Cryovial tube (2.0 ml) with yellow cap</td>
</tr>
<tr>
<td>3</td>
<td>Pre-printed airbills</td>
</tr>
<tr>
<td>3</td>
<td>Dry Ice Shipping Label, UN3373 Label, Fragile Label, Biohazard Label</td>
</tr>
<tr>
<td>10</td>
<td>Small biohazard bags with absorbent sheet</td>
</tr>
<tr>
<td>5</td>
<td>3 ½” × 22 Sprotte needle with Introducer (90mm)</td>
</tr>
<tr>
<td>10</td>
<td>Adhesive Spot Bandage</td>
</tr>
</tbody>
</table>
### NCRAD Ambient Shipping Kit

<table>
<thead>
<tr>
<th>Quantity</th>
<th>NCRAD Ambient Shipping Kit Components</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Plastic biohazard bag</td>
</tr>
<tr>
<td>1</td>
<td>Small IATA shipping box with insulated cooler</td>
</tr>
<tr>
<td>1</td>
<td>Small refrigerant pack</td>
</tr>
<tr>
<td>1</td>
<td>Aqui-Pak 6 tube absorbent pouch</td>
</tr>
<tr>
<td>1</td>
<td>UN3373 Biological Substance Category B label</td>
</tr>
<tr>
<td>1</td>
<td>List of contents card</td>
</tr>
<tr>
<td>1</td>
<td>UPS airbill sleeve</td>
</tr>
<tr>
<td>1</td>
<td>UPS Clinic Pak</td>
</tr>
</tbody>
</table>

### NCRAD Frozen Shipping Supply Kit

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Frozen Shipping Kit Components for Blood-Based Biomarkers</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>Plastic Biohazard bag with absorbent sheet (small)</td>
</tr>
<tr>
<td>1</td>
<td>UPS return airbill and pouch</td>
</tr>
<tr>
<td>1</td>
<td>Shipping box/Styrofoam container</td>
</tr>
<tr>
<td>1</td>
<td>UN3373 Biological Substance Category B label</td>
</tr>
<tr>
<td>1</td>
<td>UPS Dry Ice weight label</td>
</tr>
</tbody>
</table>
### Individual Supplies

<table>
<thead>
<tr>
<th>Quantities</th>
<th>Items Available upon request within the NCRAD kit module</th>
</tr>
</thead>
<tbody>
<tr>
<td>By Request</td>
<td>Cryovial box (holds up to 25 cryovials)</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with purple cap</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with gray cap</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with red cap</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with orange cap</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with yellow cap</td>
</tr>
<tr>
<td>By Request</td>
<td>Cryovial tube (2.0 ml) with blue cap</td>
</tr>
<tr>
<td>By Request</td>
<td>50 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>By Request</td>
<td>15 ml conical polypropylene tube-individually wrapped</td>
</tr>
<tr>
<td>By Request</td>
<td>UPS return airbill</td>
</tr>
<tr>
<td>By Request</td>
<td>UPS Clinical Pack</td>
</tr>
<tr>
<td>By Request</td>
<td>Small IATA shipping box with insulated cooler for ambient shipping</td>
</tr>
<tr>
<td>By Request</td>
<td>Aqui-Pak 6 tube absorbent pouch</td>
</tr>
<tr>
<td>By Request</td>
<td>Small refrigerant pack</td>
</tr>
<tr>
<td>By Request</td>
<td>Shipping container for dry ice shipment (shipping and Styrofoam box)</td>
</tr>
<tr>
<td>By Request</td>
<td>Styrofoam shipping containers (11”x 9”x 8”, 1 1/2” wall)</td>
</tr>
<tr>
<td>By Request</td>
<td>Plastic biohazard bag with absorbent sheet (small)</td>
</tr>
<tr>
<td>By Request</td>
<td>Disposable graduated transfer pipette</td>
</tr>
<tr>
<td>By Request</td>
<td>PAXgene™ Blood Collection Tube (2.5 ml)</td>
</tr>
<tr>
<td>By Request</td>
<td>Plain Red Top Serum (Red-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>By Request</td>
<td>Sodium Heparin (Green-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>By Request</td>
<td>EDTA (Purple-Top) Blood Collection Tube (10 ml)</td>
</tr>
<tr>
<td>By Request</td>
<td>Warning label packet (UN3373 and UPS Dry Ice)</td>
</tr>
<tr>
<td>By Request</td>
<td>UN3373 Biological Substance Category B label</td>
</tr>
<tr>
<td>By Request</td>
<td>UPS Dry ice weight shipping label</td>
</tr>
<tr>
<td>By Request</td>
<td>Fine Point Permanent Markers</td>
</tr>
<tr>
<td>By Request</td>
<td>APOE ID Labels</td>
</tr>
</tbody>
</table>

### 6.2 Kit Supply to Study Sites

Each site will be responsible for ordering and maintaining a steady supply of kits from NCRAD. We advise sites to keep a supply of each kit type available. Be sure to check your supplies and order additional materials before you run out or supplies expire so you are prepared for study visits. Please go to: [http://kits.iu.edu/APOE](http://kits.iu.edu/APOE) to request additional kits and follow the prompts to request the desired supplies.

Please allow **THREE weeks** for kit orders to be processed and delivered.
6.3 Filling Cryovials (Plasma, Serum, and CSF)

In order to ensure that NCRAD receives a sufficient amount of sample for processing and storage, and to avoid cracking of the tubes prior to shipment, each aliquot tube should be filled to the assigned volume after processing is completed (refer to detailed processing instructions for average yield per sample). Over-filled tubes may burst once placed in the freezer, resulting in a loss of sample.

Aliquot the remaining biologic material as the residual volume and ship to NCRAD. Ship all material to NCRAD. Fill as many aliquot tubes as possible. For example, if 2.7 ml of a plasma sample is obtained, fill 1 cryovial with 1.5 ml, and one additional cryovial with the remaining 1.2 ml.

Please note: It is critical for the integrity of future studies using these samples that study staff note if an aliquot tube contains a residual volume (anything under 1.5 ml). Please highlight that the aliquot contains a small volume by utilizing the blue cryovial cap provided in each kit. Please record the last four digits of the residual aliquot on the Biological Sample and Notification Form. If there are any unused cryovials, please do not send the empty cryovials to NCRAD. These unused cryovials (ensure labels are removed) can be saved as part of a supplemental supply at your site or the cryovials can be disposed of per your site’s requirements.

To assist in the preparation and aliquoting of samples, colored caps are used for the aliquot tubes. The chart below summarizes the association between cap color and type of aliquot.

<table>
<thead>
<tr>
<th>Cap Color</th>
<th>Sample Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purple</td>
<td>Plasma</td>
</tr>
<tr>
<td>Gray</td>
<td>Buffy Coat</td>
</tr>
<tr>
<td>Red</td>
<td>Serum</td>
</tr>
<tr>
<td>Orange</td>
<td>CSF</td>
</tr>
<tr>
<td>Blue</td>
<td>Residual sample (Plasma, Serum, and/or CSF)</td>
</tr>
</tbody>
</table>
7.0 Blood Collection and Processing Procedures

7.1 Labeling Samples

***Important Note***

In order to ensure the highest quality samples are collected, it is essential to follow the specific collection and shipment procedures detailed in the following pages. Please read the following instructions first before collecting any specimens. Have all your supplies and equipment out and prepared prior to drawing blood.

**Label Type Summary**

1. Kit Number Label
2. APOE ID Label
3. Collection Tube Label
4. Cryovial Label

Kit Number Labels tie together all specimens collected from one participant at one visit. They should be placed on each cryobox, and in the designated location on the Blood and CSF Sample and Shipment Notification Forms (Blood and CSF kits will have different Kit Numbers).

APOE ID Labels are used to document the individual’s unique APOE ID. Place one label on each blood collection tube.

Place one Collection Tube Label on each tube that will later be shipped to NCRAD (Sodium Heparin (Green-Top) Tubes and the PAXgene™ Tube).

Place one Cryovial Label on each cryovial.
**Important Note**

Each collection tube will have two labels: the Collection Tube Label and the APOE ID Label. Be sure to place labels in the same configuration consistently among tubes, with the barcoded Collection Tube Label near the top of the tube and the handwritten APOE ID Label toward the bottom.

In order to ensure the label adheres properly and remains on the tube, please follow these instructions:

- Place Collection Tube and Cryovial Labels on ALL specimen tubes BEFORE sample collection. This should help to ensure the label properly adheres to the tube before exposure to moisture or different temperatures.
- Using a fine point permanent marker, fill-in and place the APOE ID labels on the Sodium Heparin (Green-Top or NaHep) Tube, EDTA (Purple-Top) Tube, Serum (Red-Top) Tube, and the PAXgene™ Tube BEFORE sample collection. These labels are placed on collection tubes in addition to the collection tube label.
Place labels on Sodium Heparin and PAXgene tubes such that a clear window remains visible down the length of the tube as shown below to ensure buffy coat can be seen by NCRAD lab staff during processing.

- The collection tube labels contain a 2D barcode on the left-hand side of the label and on the bottom right side. Place the left-hand side barcode toward the tube cap.
- Place label **horizontally** on the tube (wrapped around sideways if the tube is upright).

Take a moment to ensure the label is **completely adhered** to each tube. It may be helpful to roll the tube between your fingers after applying the label.
7.2 Whole Blood Collection with 10 ml Sodium Heparin (Green-Top) Tube for PBMC

***Important Note***
Once drawn, sodium heparin tubes MUST be shipped to NCRAD the day of collection via UPS Next Day Air. This is to ensure the specimens have the most viable cells available at extraction.

These samples should only be collected Monday-Thursday. **DO NOT** collect these samples on Fridays.

1. Store empty Sodium Heparin Tubes at room temperature, 64°F - 77°F (18 °C – 25 °C) before use.

2. Place completed APOE ID label and pre-printed **PBMC** Collection Tube Label on each of the Sodium Heparin (Green-Top) Blood Collection Tubes.

3. Using a blood collection set and a holder, collect blood into the 10 ml Sodium Heparin Tubes using your institution's recommended procedure for standard venipuncture technique.

**The following techniques shall be used to prevent possible backflow:**
   a. Place donor's arm in a downward position.
   b. Hold tube in a vertical position, below the donor’s arm during blood collection.
   c. Release tourniquet as soon as blood starts to flow into the last collection tube.
   d. Make sure tube additives do not touch the stopper or the end of the needle during venipuncture.

4. Allow at least 10 seconds for a complete blood draw to take place in the tube. **Ensure that the blood has stopped flowing into each tube before removing the tube from the holder.** The tube with its vacuum is designed to draw 10 ml of blood into the tube.

5. Immediately after blood collection, gently invert/mix (180-degree turns) each tube 8-10 times.

6. Ship the unprocessed Sodium Heparin (Green-Top) Blood Collection Tubes **ambient** to NCRAD the day of the participant visit. Please see **Section 9.1** for detailed ambient shipping instructions.

7. Complete Blood Sample and Shipment Notification Form (**Appendix B**).
PBMC Preparation (10 ml Sodium Heparin Tube)

- **Step One**: Store tubes at room temp.
- **Step Two**: Collect blood in Sodium Heparin tubes allowing blood to flow for 10 seconds, and ensuring blood flow has stopped.
- **Step Three**: Immediately after blood draw, invert tubes 8-10 times to mix sample.
- **Step Four**: Store tubes at room temp. until shipment. Ship ambient same day as blood draw.

Check expiration dates of tubes before collection to make sure tubes are not expired!
7.3 Whole Blood Collection with 10 ml EDTA (Purple-Top) Tube for Plasma and Buffy Coat

1. Store empty EDTA tubes at room temperature, 64°F - 77°F (18 °C – 25 °C) before use.

2. Set centrifuge to 4°C to pre-chill before use.

3. Place completed APOE ID Label and pre-printed PLASMA Collection Tube Labels on the purple-top EDTA tubes. Place pre-printed PLASMA Cryovial Labels on the six 2 ml cryovials with purple caps and one 2 ml cryovial with blue cap (if necessary, for residual). Place pre-printed BUFFY COAT Cryovial Labels on the 2 ml cryovials with gray caps.

4. Please ensure that aliquots are kept in numerical order (by specimen number) throughout the aliquoting and shipping process, from left to right.

5. Using a blood collection set and a holder, collect blood into the EDTA (Purple-Top) Blood Collection Tube (10 ml) using your institution’s recommended procedure for standard venipuncture technique.

The following techniques shall be used to prevent possible backflow:
- Place participant’s arm in a downward position.
- Hold tube in a vertical position, below the participant’s arm during blood collection.
- Release tourniquet as soon as blood starts to flow into the last collection tube.
- Make sure tube additives do not touch stopper or end of the needle during venipuncture.

6. Allow at least 10 seconds for a complete blood draw to take place in each tube. Ensure that the blood has stopped flowing into the tube before removing the tube from the holder. The tube with its vacuum is designed to draw 10 ml of blood into the tube.
   - If complications arise during the blood draw, please note the difficulties on the ‘Blood Sample and Shipment Notification Form’. Do not attempt to draw an additional EDTA tube at this time. Process blood obtained in existing EDTA tube.

7. Immediately after blood collection, gently invert/mix (180 degree turns) the EDTA tube 8-10 times.

8. Immediately after inverting the EDTA tube, place it on wet ice until centrifugation begins.
9. Preferably within 30 minutes of blood collection, centrifuge balanced tubes for 10 minutes at 2000 x g at 4°C. It is critical that the tubes be centrifuged at the appropriate speed and temperature to ensure proper plasma separation (see worksheet in Appendix A to calculate equivalent RPM for spin at 2000 x g).
   a. While centrifuging, remember to record all times, temperatures and spin rates on the Blood Sample and Shipment Notification Form.
   b. Plasma samples need to be spun, aliquoted, and placed in the freezer within 2 hours from the time of collection.
   c. Record time aliquoted on the Blood Sample and Shipment Notification Form.

10. Remove the plasma by tilting the tube and placing the pipette tip along the lower side of the wall without agitating the packed red blood cells at the bottom of the collection tube.

11. Each EDTA tube should yield, on average, 4-5 ml of plasma. Transfer plasma from both EDTA tubes into the 15 ml conical tube and gently invert 3 times. Aliquot 1.5 ml plasma per cryovial. Be sure to only place plasma in cryovials with purple caps and labeled with PLASMA labels. Place residual plasma (<1.5 ml) in the blue-capped cryovial. If a residual aliquot (<1.5 ml) is created, document the sample number and volume on the Blood Sample and Shipment Notification Form.

12. Place the labeled cryovials in the 25 cryovial box and place on dry ice. Transfer to -80°C Freezer when possible. Store all samples at -80°C until shipped to NCRAD on pelleted dry ice. If storage in a -80°C freezer until UPS pickup is not possible, package samples no more than 4 hours before the
expected pickup time. Record time aliquots placed in freezer and storage temperature of freezer on Blood Sample and Shipment Notification Form.

13. After plasma has been removed from the EDTA (Purple-Top) Blood Collection Tube (10 ml), aliquot buffy coat layer (in the top layer of cells, the buffy coat is mixed with RBCs-see figure) into labeled cryovials with gray caps using a micropipette. The buffy coat aliquots are expected to have a reddish color from the RBCs. Be sure to only place the buffy coat from one EDTA tube into each cryovial. Be sure to place buffy coats into cryovials with gray caps and **BUFFY COAT** labels.

14. Dispose of collection tube with red blood cell pellet according to your site’s guidelines for disposing of biomedical waste.

15. Place the labeled cryovials in the 25 cell cryobox and place on pelleted dry ice. Transfer to -80°C Freezer when possible. Store all samples at -80°C until shipped to NCRAD on pelleted dry ice. Record time aliquots placed in freezer and storage temperature of freezer on Blood Sample and Shipment Notification Form.
Plasma and Buffy Coat Preparation EDTA Purple-Top Tube (2 x 10 ml)

Check expiration dates of tubes before collection to make sure tubes are not expired!
### 7.4 Whole Blood Collection with 10 ml Serum (Red-Top) Tube for Serum

1. Store empty Red-Top Serum tubes at room temperature, 64°F - 77°F (18 °C – 25 °C) before use.

2. Set centrifuge to 4°C to pre-chill before use.

3. Place completed APOE ID Label and **SERUM** Collection Tube Label on the Plain Red-Top Serum Blood Collection Tube. Place pre-printed **SERUM** Cryovial Labels on the three 2 ml cryovial tubes with red caps and one 2 ml cryovial with blue cap (if necessary, for residual).

4. Using a blood collection set and a holder, collect blood into **Plain Red-Top Serum Blood Collection Tubes (10 ml)** using your institution’s recommended procedure for standard venipuncture technique.

   **The following techniques shall be used to prevent possible backflow:**
   a. Place participant’s arm in a downward position.
   b. Hold tube in a vertical position, below the participant’s arm during blood collection.
   c. Release tourniquet as soon as blood starts to flow into the last collection tube.
   d. Make sure tube additives do not touch the stopper or the end of the needle during venipuncture.

5. Allow at least 10 seconds for a complete blood draw to take place in each tube. **Ensure that the blood has stopped flowing into each tube before removing the tube from the holder.** The tube with its vacuum is designed to draw 10 ml of blood into the tube.
   a. If complications arise during the blood draw, please note the difficulties on the ‘Blood Sample and Shipment Notification Form’. Do not attempt to draw an additional Serum tube at this time. Process blood obtained in existing Serum tube.

6. Immediately after blood collection, gently invert/mix (180 degree turns) each tube 5 times.
7. Allow blood to clot at room temperature by placing it upright in a vertical position in a tube rack for 30 minutes. If sample is not clotted allow it to sit for up to 60 minutes to clot. Serum samples need to be spun, aliquoted, and placed in the freezer within 2 hours from the time of collection.

   a. After 30 minutes of clotting, centrifuge the collection tube for 10 minutes at 2000 x g at 4°C. It is critical that the tube be centrifuged at the appropriate speed to ensure proper serum separation (see worksheet in Appendix A to calculate equivalent RPM for spin at 2000 x g).

   b. While centrifuging, remember to record all times, temperatures and spin rates on the Blood Sample and Shipment Notification Form Appendix B.

   c. Serum samples need to be spun, aliquoted, and placed in the freezer within 1 hour from the time of collection.

   d. Record time aliquoted on the Blood Sample Shipment and Notification Form.

8. Remove the serum by tilting the tube and placing the pipette tip along the lower side of the tube wall without agitating the packed red blood cells at the bottom of the collection tube.

9. Transfer serum into the pre-labeled cryovials with red caps. The serum tube should yield, on average, 4-5 ml of serum. Aliquot 1.5 ml serum into each cryovial. Be sure to only place serum in cryovials with red caps and labeled with SERUM labels. Place residual serum (<1.5 ml) in the blue-capped cryovial.
10. Place the labeled cryovials in the 25 cell cryobox and place on pelleted dry ice. Transfer to -80°C Freezer when possible. **Store all samples at -80°C until shipped** to NCRAD on pelleted dry ice. Record time aliquots placed in freezer and storage temperature of freezer on Blood Sample and Shipment Notification Form.
Serum Preparation (10 ml Red-Top Tube)

- Store tubes at room temp.
- Each tube should be labeled with pre-printed APOE ID and collection tube labels.
- Collect blood in Serum Tube allowing blood to flow for 10 seconds and ensuring blood flow has stopped.
- Immediately after blood draw, invert tube 5 times to mix sample.
- Allow blood to clot for 30 minutes.
- Within 45 minutes of blood draw, centrifuge samples at 2000 x g for 10 minutes at 4°C.
- Label three red-capped cryovials and one blue-capped cryovial with “SERUM” cryovial labels.
- Aliquot 1.5 ml into each cryovial. If residual aliquot is created, document specimen number and volume on Sample Form.
- Store serum aliquots upright at -80°C until shipment.
- Spin, aliquot, and freeze aliquots within 2 hours of collection.

Check expiration dates of tubes before collection to make sure tubes are not expired!
7.5 Whole Blood Collection with PAXgene™ RNA Tube

1. Store PAXgene™ tubes at room temperature 64°F - 77°F (18°C to 25°C) before use.

2. Place filled-out APOE ID Label and RNA Collection Tube Label on the PAXgene™ tube prior to blood draw; no processing is required for this tube. The single tube is to be shipped to NCRAD frozen, without processing at the collection site.

3. Using a blood collection set and a holder, collect blood into the PAXgene™ RNA Tube using your institution’s recommended procedure for standard venipuncture technique.

   The following techniques shall be used to prevent possible backflow:
   a. Place participant’s arm in a downward position.
   b. Hold tube in a vertical position, below the participant’s arm during blood collection.
   c. Release tourniquet as soon as blood starts to flow into the last collection tube.
   d. Make sure tube additives do not touch the stopper or the end of the needle during venipuncture.

4. Allow at least 10 seconds for a complete blood draw to take place in each tube. Ensure that the blood has stopped flowing into the tube before removing the tube from the holder. The PAXgene™ RNA Tube with its vacuum is designed to draw 2.5 ml of blood into the tube.

5. Immediately after blood collection, gently invert/mix (180 degree turns) the PAXgene™ RNA Tube 8 – 10 times.

6. Place the PAXgene™ RNA tube upright in a WIRE rack and transfer the PAXgene™ RNA tube to a -80°C freezer. Keep the PAXgene™ RNA Tube in -80°C freezer for storage until you ship on pelleted dry ice to NCRAD. Complete remainder of the Blood Sample and Shipment Notification Form (Appendix B).
RNA Preparation (2.5 ml PAXgene™ tube)

1. Store tubes at room temp.
2. Label tubes with pre-printed APOE ID and collection tube label prior to blood draw.
3. Collect blood in PAXgene™ tube allowing blood to flow for 10 seconds, and ensuring blood flow has stopped.
4. Immediately after blood draw, invert tube 8-10 times to mix sample.
5. Store tubes in wire rack at -80°C until shipment to NCRAD.
6. Do not store tube in Styrofoam racks.

Check expiration dates of tubes before collection to make sure tubes are not expired!
8.0 Cerebrospinal Fluid Collection and Processing

***Important Note***

CSF samples should be collected in the morning before breakfast and after an overnight fast. There should be a minimum 6-hour fast before collection of biomarker fluids and CSF. Only water is permitted until blood draws and the lumbar puncture are completed.

There are general guidelines to follow in regards to CSF Collection.

- Begin by confirming participant consented to lumbar puncture (LP) before scheduling the procedure and again prior to performing procedure.
- If LP and PET scan are done on the same day, LP should be completed prior to the PET scan; otherwise, there should be at least 12 hours between LP and PET scan.
- LP should occur after, or a minimum of 72 hours prior, to an MRI scan.
- Do NOT use any extension tubing due to the tendency of manufactured plastic tubing to bind beta amyloid peptides and other important AD biomarkers.
- If LP was attempted but unsuccessful in obtaining CSF, a second attempt under fluoroscopy (if deemed appropriate by site clinician) is allowed.
- LP under fluoroscopy is permitted, if needed. Site personnel should advise the participant that use of fluoroscopy (x-rays) involves exposure to radiation.
- Participants taking an anti-platelet agent (e.g. aspirin) may, at the discretion of the site clinician, be discontinued from that agent for a period of time prior to lumbar puncture and/or continue off agent for a period of time post LP. Participants who are taking anticoagulants (e.g. warfarin (Coumadin) and/or dabigatran (Pradaxa)) may not undergo an LP and are not suitable to participate in this study.
- Each study participant or a person designated to speak for them will be contacted by phone one business day after the LP to confirm participant well-being and to query about any adverse events.
- Identify a physician (e.g., anesthesiologist) able to perform a blood patch for any participant who experiences a post lumbar puncture headache. Find out ahead of time who to call to schedule and perform a blood patch at your center, should the need arise. Ensure billing procedures are in place ahead of time.
- Ensure you have at least two “Lumbar Puncture Tray Kits” and sufficient “CSF Supplemental Supply Kit” provisions on hand prior to scheduling an LP visit. Also ensure adequate site-provided supplies (see above), including pelleted dry ice. Check expiration dates on all supplies, especially lidocaine.
8.1  **Scheduling the LP**

All LPs should be performed in the morning if possible. Availability of staff and facilities for next day blood patch should be considered when scheduling LPs. CSF amyloid levels can vary depending upon the time of day the sample is collected. It is important for the time of day of collection to remain consistent across study visits.

The LP should be rescheduled if the participant does not feel well or is febrile.

8.2  **Performing the LP**

The recommended position is sitting with curved back and head down. For comfort, a stool may be used to prop up the feet and legs. The same position should be used at follow-up LPs. It is critical to try to optimize positioning, and usually requires an assistant. Other positions and needles are allowed (e.g., when using fluoroscopy) but this should be recorded on the CSF Sample and Shipment Notification Form. A pillow may be placed under the head for comfort.

On the bedside table nearest where the person performing the lumbar puncture will sit, place a pair of sterile gloves (in their packaging) and a blue pad. Remove the contents of the lumbar puncture tray from the outer plastic packaging, leaving the contents wrapped in their sterile drape. Leave everything wrapped until the person performing the lumbar puncture is seated.

Feel the outside of the lumbar puncture kit (still wrapped up) to determine which end contains the spongy swabs. Turn this end toward the person performing the lumbar puncture and begin un-wrapping the kit.
TOUCH ONLY THE OUTSIDE OF THE PAPER WRAPPER. When you grab an edge to unfold it, touch only the folded under portions of the outside of the wrapper. Also, don’t let the outside of the wrapper touch any part of the inside.

- If you touch any part of the paper wrapper, or if any non-sterile object outside of the wrapper touches any part of the inside of the wrapper, throw the kit away and start over.
- If you are in any doubt as to whether the inside of the wrapper has been touched, throw the kit away and start over.
Cleaning the Lumbar Puncture Site

The lumbar puncture site is cleaned with Povidone-Iodine Topical Solution according to best standard medical practices.

Once the kit is successfully unwrapped, open the bottle of Povidone-Iodine Topical Solution somewhere away from the kit. Use an alcohol swab to remove any loose chunks of dried material off of the bottle top. You don’t want anything to fall onto the open and sterile lumbar puncture kit. Pour enough Povidone-Iodine Topical Solution into the prep well to cover the bottom, about ¼ inch deep.

Maintaining the Sterile Field

An important aspect of assisting with a successful lumbar puncture is keeping the field sterile. If there are a number of staff members in the room, please be sure they do not accidentally contaminate the sterile field. Once the person performing the lumbar puncture has donned sterile gloves, additional help may be needed to obtain or un-wrap any new tubes, needles, or supplies.

Unwrapping the Sterile 15 and 50 ml Conical Tubes

Note that the 15 ml and 50 ml tubes into which CSF is collected and transferred come individually wrapped and are sterile inside and out. These wrappers should be peeled open by an assistant (not touching the tube) and the tube carefully dropped onto the LP tray or elsewhere in the sterile field in a manner that avoids contamination. Any additional needles or other individually-wrapped sterile items can be handled the same way.

- Do not drop any packaging onto the tray or sterile field.
- Do not let the item touch the outside of the packaging on its way to the tray.

Lidocaine, Syringe with Needle, Gauze Pads

Anesthesia is usually achieved within 2 minutes after injecting the lidocaine. Occasionally, the person performing the lumbar puncture will need to use more lidocaine to numb up a particular spot, or they may need to move to another spot entirely.

Hold the lidocaine bottle upside down and at a slight angle toward the person performing the lumbar puncture so that they can plunge the needle into the bottle and extract some lidocaine without touching you or the bottle. Use two hands to stabilize the bottle. If the person performing the LP requires additional sterile gauze, open the gauze pad the same way as the syringe and needle, by holding open the package so the person performing the lumbar puncture can grab the gauze without touching you or the package.
General CSF Collection Methods

LPs for CSF collection should be performed using a small caliber atraumatic needle. CSF should be obtained via gravity flow using the 22 gauge Sprotte needle, although aspiration through this or smaller needles is allowable. Prior approval from the Clinical Core is required before the aspiration method can be utilized. Sites must designate the method of CSF collection for data tracking purpose. It is recommended that CSF be obtained from participants in a sitting position. Alternate needles, positions or methods (e.g., use of fluoroscopy) should be noted on the CSF Sample and Shipment Notification Form.

Collection of CSF by Gravity

After the spinal needle enters the L3-4 or adjacent intrathecal space and the stylet is withdrawn, CSF should flow freely. **Discard first 1-2 ml of CSF if blood tinged. If not blood tinged, collect first 1-2 ml of CSF into a 15 ml conical tube and pipette into the yellow cap cryovial for local lab.** Collect 20-30 ml CSF total into the remaining two 15 ml conical tubes.

**Reminder:** If the CSF is blood-tinged, the first 1-2 ml of CSF should be discarded (or more if needed) to clear the blood before collecting the 20-30 ml for CSF analysis. **15 ml is the required MINIMUM for CSF biomarker analysis.** If 15 ml is not obtained and provided to the NCRAD, document the reason for under-collection on the comments section of the CSF Sample and Shipment Notification Form.

Up to 30 ml of CSF can be collected for the APOE protocol. Any additional CSF collected will require a separate informed consent document that is connected to a specific protocol. We recommend that the additional non-APOE CSF collected does not exceed 10 ml for a total of 40 ml.

Washcloths, Band-Aids, and Clean Up

After the person performing the lumbar puncture collects the last of the CSF, remove the needle and introducer and wash the Povidone-Iodine Topical Solution off the participant. A warm, wet washcloth can be used. A Band- Aid should be applied to the puncture site. The participant should lie flat for 30-60 minutes. Next, discard the LP kit following local guidelines, and dispose of sharp components in an appropriate sharps container.

**Suggested management of post-lumbar puncture headache**

Classic post-lumbar puncture (low pressure) headache typically begins 24-48 hours after dural puncture, and the headache is worse when the participant is upright (sits or stands) and improves when the participant is recumbent with the head **no higher** than the spinal cord.
Safety and comfort of the LP is maximized by the use of atraumatic needles. The protocol requires use of a 22 gauge Sprotte needle. Lumbar puncture is a standard procedure for collection of CSF but may be associated with pain during the performance of the procedure, comparable to the level of pain experienced during a blood draw. This is usually temporary and confined to the lower back. A persistent low-pressure headache may develop after lumbar puncture, probably due to leakage of CSF. If a post-LP headache persists it may need additional treatment, e.g. with fluids and analgesics. Uncommonly, a blood patch (injection of some of the participant’s blood to patch the CSF leak) may be needed.

**Prevention:** Use of a small gauge and atraumatic needle with careful technique are helpful in preventing post-lumbar puncture headache. Having the participant refrain from exercise or strenuous activities (especially heavy lifting) and staying well-hydrated for 24 hours after the LP may minimize the chance of a lumbar puncture headache.

**Treatment of headache after a lumbar puncture:**

- Limit physical activity as much as possible for at least 24 hours post-procedure.
- Increase oral fluid intake. Caffeine may be helpful.
- Routine analgesics such as acetaminophen may be used.

Post-lumbar puncture headache often resolves with the above treatment. If the headache persists after 24 hours of this management, it will likely require a blood patch. A blood patch typically relieves the headache instantly.

### 8.3 Step by Step Summary of CSF Collection Procedure

1. Ensure all samples collected are appropriately labeled.

2. Print CSF Sample and Shipment Notification Form.

3. Confirm all supplies are available.

4. Label the nineteen orange-capped cryovials and one blue-capped cryovial with provided CSF cryovial labels. Do **NOT** open and label the 15 ml and 50 ml tubes that will be kept sterile to collect the CSF.

5. Pre-cool the centrifuge and pre-cool all twenty labeled cryovials on wet ice. Do **NOT** pre-cool the 15 ml and 50 ml tubes that will be kept sterile to collect the CSF.

6. Measure vitals (participant lying down).
7. Record the time of LP and associated information on the CSF Sample and Shipment Notification Form.

8. Collect 30 ml CSF at the L3/L4 position (or adjacent position) using a 22 gauge Sprotte spinal needle via gravity flow with participant in upright position (or document alternate method on CSF Sample and Shipment Notification Form) following these steps:
   a. Collect initial 1-2 ml (if bloody, collect CSF until cleared of blood) using the 15 ml conical tube. If not bloody, transfer first 1-2 ml into yellow-capped cryovial for local lab.
   b. Collect an additional 30 ml CSF into the unlabeled and sterile 15 ml polypropylene tubes from the “CSF Supply Kit”. 15 ml is the required minimum.
   c. If using aspiration, use ONLY the polypropylene syringes included in the “Lumbar Puncture Collection Kit” and transfer directly into the unlabeled and sterile 15 ml polypropylene tube from the “CSF Supply Kit”. There are four 6 ml Luer lock polypropylene syringes in the “Lumbar Puncture Collection Kit.” Note this on the CSF Sample and Shipment Notification Form.

9. As one person takes the immediate post procedure vital signs, a second person should process the CSF as follows:
   a. Place samples upright on wet ice and ensure samples are kept on wet ice for the entire time prior to processing. Preferably within 15 minutes of collection, centrifuge briefly at low speed (2000 x g, 10 min, 4°C) to pellet any cellular debris.
   b. Using a clean transfer pipette, transfer CSF from both 15 ml conical tubes into a 50 ml conical tube, leaving the debris at the bottom of each 15 ml centrifuged tube. Gently invert the 50 ml conical tube 3-4 times to mix the sample.
   c. Aliquot 1.5 ml into the orange-capped cryovials. If a residual aliquot is created, aliquot into blue-capped cryovial. Document specimen number and volume on CSF Sample Notification Form.
   d. Within 2 hours of CSF collection, samples need to be spun, aliquoted and in the freezer. Store CSF aliquots at -80°C until shipment. Record time of freezing on CSF Sample and Shipment Notification Form.

10. Provide food and drink to participant (participant may lay flat to minimize the chance of a post-LP headache).
11. Place the labeled cryovials in the 25 cell cryobox and place on pelleted dry ice. Transfer to -80°C Freezer when possible. Store all samples at -80°C until shipped to NCRAD on pelleted dry ice. Record time aliquots placed in freezer and storage temperature of freezer on CSF Sample and Shipment Notification Form.
CSF Preparation (30 ml total)

1. Label cryovials with pre-printed specimen labels prior to collection.
2. Pre-chill all cryovials on wet ice.
3. Collect initial 1-2 ml CSF for local lab testing.
   - If bloody, collect CSF until cleared of blood.
   - If not bloody, transfer into the yellow-capped cryovial. Send to local lab for cell count.
4. Collect 15-20 ml total (including the 1-2 ml for local lab testing) into two 15 ml conical tubes.
5. Place samples upright on wet ice until centrifugation begins.
6. Within 15 mins of collection, centrifuge samples at 4°C at 2000 x g for 10 minutes.
7. Using a clean transfer pipette, transfer all CSF into a new 50 ml conical tube leaving the pellet in the bottom.
8. Gently invert the 50 ml conical tube 3-4 times to mix the sample.
9. Aliquot 1.5 ml into the orange-capped cryovials.
10. If residual aliquot is created, place sample into the blue-capped cryovial and document the specimen number and volume on Sample Form.
11. Store CSF aliquots upright at -80°C until shipment.
12. Spin, aliquot, and freeze aliquots within 2 hours of collection.

Check expiration dates of supplies before collection to make sure that they are not expired!
9.0 Packaging & Shipping Instructions

ALL study personnel responsible for shipping should be certified in biospecimen shipping. If you have difficulty finding biospecimen shipping training, please notify a NCRAD coordinator.

In addition to tracking and reconciliation of samples, the condition and amount of samples received are tracked by NCRAD for each sample type. Investigators and clinical coordinators for each project are responsible to ensure the requested amounts of each fluid are collected to the best of their ability and that frozen samples are packed with sufficient amounts of pelleted dry ice to avoid thawing in the shipment process.

9.1 Ambient Packaging Instructions

***Important Note***

AMBIENT SAMPLES MUST BE SHIPPED MONDAY-THURSDAY ONLY!

Ambient PBMC samples must be shipped the day of blood draw, so do not draw on Fridays.

Ambient sodium heparin (green-top) sample shipments should be considered as Category B UN3373 and as such must be tripled packaged and compliant with the IATA Packing Instructions 650. See the Latest Edition of the IATA Regulations for complete documentation.

Triple packaging consists of a primary receptacle(s), a secondary packaging, and a rigid outer packaging. The primary receptacles must be packed in secondary packaging in such a way that, under normal conditions of transport, they cannot break, be punctured or leak their contents into the secondary packaging. Secondary packaging must be secured in outer packaging with suitable cushioning material. Any leakage of the contents must not compromise the integrity of the cushioning material or of the outer packaging.
*** Ambient Shipping Packing and Labeling Guidelines ***

- The primary receptacle (Sodium Heparin Tube) must be leak proof and must not contain more than 10 ml total.
- The secondary packaging (small biohazard bag) must be leak proof.
- Absorbent material must be placed between the primary receptacle and the secondary packaging (small biohazard bag). The absorbent material should be of sufficient quantity in order to absorb the entire contents of the specimens being shipped. Examples of absorbent material are paper towels, absorbent pads, cotton balls, or cellulose wadding.
- A shipping manifest of specimens being shipped must be included between the secondary and outer packaging.
- The outer shipping container must display the following labels:
  - Sender’s name and address
  - Recipient’s name and address
  - Responsible Person
  - The words “Biological Substance, Category B”
  - UN3373

9.1.1 NCRAD Packaging Instructions—Ambient Shipments

1. Place refrigerant pack in the freezer 24 hours prior to shipment.

2. Contact UPS to confirm service is available and schedule package to be picked up.

3. Notify NCRAD of shipment by emailing NCRAD coordinators at: alzstudy@iu.edu
   a. Complete and attach the Blood Sample and Shipment Notification Form to the email. (See Appendix B)

4. Place filled and labeled Sodium Heparin (Green-Top) Tubes into the plastic biohazard bag with absorbent sheet. If absorbent tube sleeve included, place tubes in sleeve slots then place sleeve with tubes in the biohazard bag.
5. Remove as much air as possible from the plastic biohazard bag and seal the bag according to the directions printed on the bag.

6. Place the refrigerant pack into the cooler on top of the filled biohazard bag.

7. Place the lid onto the cooler.

8. Place an extra copy of the Blood Sample and Shipment Notification Form on top of the cooler lid along with a completed list of contents card.
9. Close the shipping box. Label the outside of the cardboard box with the enclosed UN3373 (Biological Substance Category B) label.

10. Place the closed, labeled shipping box within a UPS Laboratory Pak. Seal the UPS Laboratory Pak.

11. Place UPS return airbill on the sealed UPS Laboratory Pak.

12. Specimens should be sent to the below address via UPS Next Day Air. Ambient UPS shipments should be sent Monday through Thursday.

   APOE at NCRAD
   Indiana University School of Medicine
   351 W. 10th St. TK-217
   Indianapolis, IN 46202

13. Use UPS tracking to ensure the delivery occurs as scheduled and is received by NCRAD.
9.2 Frozen Packaging Instructions

The most important issue for shipping is to maintain the temperature of the samples. The frozen samples must never thaw; not even the outside of the tubes should be allowed to defrost. This is best accomplished by making sure the Styrofoam container is filled completely with pelleted dry ice.

***Important Note***

**FROZEN SAMPLES MUST BE SHIPPED MONDAY-WEDNESDAY ONLY!**

Specimens being shipped to NCRAD should be considered as Category B UN3373 specimens and as such must be tripled packaged and compliant with IATA Packing Instructions 650. See the Latest Edition of the IATA Regulations for complete documentation.

Triple packaging consists of a primary receptacle(s), a secondary packaging, and a rigid outer packaging. The primary receptacles must be packed in secondary packaging in such a way that, under normal conditions of transport, they cannot break, be punctured, or leak their contents into the secondary packaging. Secondary packaging must be secured in outer packaging with suitable cushioning material. Any leakage of the contents must not compromise the integrity of the cushioning material or of the outer packaging.

***Packing and Labeling Guidelines***

- The primary receptacle (cryovial or PAXgene™ tube) must be leak proof and must not contain more than 1L total.
- The secondary packaging (biohazard bag) must be leak proof and if multiple blood tubes are placed in a single secondary packaging, they must be either individually wrapped or separated to prevent direct contact with adjacent blood tubes.
- Absorbent material must be placed between the primary receptacle and the secondary packaging. The absorbent material should be of sufficient quantity in order to absorb the entire contents of the specimens being shipped. Examples of absorbent material are paper towels, absorbent pads, cotton balls, or cellulose wadding.
- A shipping manifest of specimens being shipped must be included between the secondary and outer packaging.
- The outer shipping container must display the following labels:
  - Sender’s name and address
  - Recipient’s name and address
  - Responsible Person
  - The words “Biological Substance, Category B”
  - UN3373
  - UPS Dry Ice label and net weight of dry ice contained
9.2.1 NCRAD Packaging Instructions – Frozen Shipments

1. Contact UPS to confirm service is available and schedule package to be picked up.

2. Notify NCRAD of shipment by emailing NCRAD coordinators at alzstudy@iu.edu. Attach the following to the email:
   a. Completed Sample Forms (Appendix B and Appendix C) to the email notification (email NCRAD coordinator prior to shipment to receive sample form).
   b. If email is unavailable please call NCRAD at 1-800-526-2839 and do not ship until you’ve contacted and notified NCRAD coordinators about the shipment in advance.

3. Place the cryovial boxes containing frozen samples into a biohazard bag.

4. Insert PAXgene™ tube into the bubble slot and place in the biohazard bag.

5. As the cryovial box is placed in the plastic biohazard bag, do NOT remove the absorbent material found in the bag. Seal according to the instructions on the bag.

6. Place approximately 2-3 inches of pelleted dry ice in the bottom of the Styrofoam shipping container.
7. Place the biohazard bags into the provided Styrofoam-lined shipping container on top of the pelleted dry ice. Please ensure that cryovial boxes are placed so the cryovials are upright in the shipping container.
   a. Do NOT overpack frozen shippers. Small shippers have capacity for a MAXIMUM of 3 kits. Large shippers have capacity for a MAXIMUM of 8 kits.

8. Fully cover the biohazard bags containing the cryovial boxes and tubes with approximately 2 inches of pelleted dry ice.

9. After the samples have been placed into the shipping container, completely fill the inner Styrofoam with of dry ice pellets to ensure the frozen state of the specimens during transit.

10. Replace the lid on the Styrofoam carton. Place the completed Blood Sample and Shipment Notification Form in the package on top of the Styrofoam lid for each patient specimen, and close and seal the outer cardboard shipping carton with packing tape.

11. Complete the UPS Dry Ice Label with the following information:
   a. Net weight of dry ice in kg (must match amount on the airbill)
   b. Do not cover any part of this label with other stickers, including preprinted address labels.

12. Apply all provided warning labels and the completed UPS return airbill to the outside of package, taking care not to overlap labels.

13. If possible, hold packaged samples in -80°C freezer until time of UPS pick-up/drop-off. If storage in a -80°C freezer until UPS pick-up is not possible, package samples no more than 4 hours before the expected pick-up time.
14. Specimens should be sent to the following address via UPS Next Day Air. Frozen shipments should be sent Monday through Wednesday to avoid shipping delays on Thursday or Friday.

APOE at NCRAD
Indiana University School of Medicine
351 W. 10th St. TK-217
Indianapolis, IN 46202

15. Use UPS tracking to ensure the delivery occurs as scheduled and is received by NCRAD. Please notify NCRAD by email (alzstudy@iu.edu) that a shipment has been sent and include the UPS tracking number in your email.

9.3 Ambient and Frozen Shipping Instructions

1. Log into the ShipExec Thin Client at kits.iu.edu/UPS.
   a. If a new user or contact needs access, please reach out to your study contact for access.

2. Click “Shipping” at the top of the page and select “Shipping and Rating”.

3. Select your study from the “Study Group” drop down on the right side of the main screen. Choosing your study will automatically filter the address book to only addresses within this study.

4. Click on the magnifying glass icon in the “Ship From” section to search for your shipping address.
a. Search by Company (site), Contact (name), or Address 1 (first line of your site’s street address). Click Search.

b. Click Select to the left of the correct contact information.

5. Verify that both the shipping information AND study reference are correct for this shipment.
   a. If wrong study contact or study reference, click Reset in the bottom right of the screen to research for the correct information.

6. Enter Package Information
   a. Ambient shipments
      i. Enter the total weight of your package in the “Weight” field and leave the “Dry Ice Weight” field empty.
   b. Frozen shipments
      i. Enter the total weight of your package in the “Weight” field.
      ii. Enter the dry ice weight in the “Dry Ice Weight” field.
      iii. If the “Dry Ice Weight” field is higher than the “Weight” field, you will receive an error message after clicking Ship and need to reenter these values.
   c. Click Ship in the bottom right of the page when complete.
7. If your site does not already have a daily UPS pickup, you can schedule one here.
   a. Click the blue Pickup Request button. Enter the earliest pickup time and latest pickup time in 24-hr format.
   b. Give a name & phone number of someone who the UPS driver can call if having issues finding the package.
   c. Give the Floor and Room Number (if needed) to be as descriptive as possible where this package needs to be picked up from. Click Save.

8. Print the airbill that is automatically downloaded.
   a. To reprint airbill, click History at the top left of the page.
      i. Shipments created from the user that day will automatically populate. If shipments from a previous day need to be located, search by ship date.
      ii. Locate the correct shipment, and click on the printer icon to the left of the tracking number under “Action” to reprint the airbill.
      iii. Click print icon on right side of the tracking number line.

9. Fold airbill, and place inside plastic UPS sleeve.

10. Peel the back off of the UPS sleeve and stick the sleeve to the package top. Ensure that sleeve does not cover any warning labels (e.g. dry ice label) or overlap taped seams.
10.0 Data Queries and Reconciliation

Sample and Shipment Notification forms must be completed on the day that samples are collected (for ambient samples), or before sample shipment (for batch frozen samples) because they include information that will be used to reconcile sample collection and receipt, as well as information essential to future analyses.

NCRAD will collaborate with the data team at NACC to reconcile information captured in the NACC database compared to samples received and logged at NCRAD. Additional discrepancies may be sent directly to the Center staff to reconcile.

Data queries or discrepancies with samples shipped and received at NCRAD may result from:

- Incorrect samples collected and shipped
- Damaged or incorrectly prepared samples
- Unlabeled samples, samples labeled with incomplete information, or mislabeled samples
- Discrepant information documented on the Blood Sample and Shipment Notification Form and logged at NCRAD compared to information entered into the NACC database.

11.0 Appendices

Appendix A: Rate of Centrifuge Worksheet

Appendix B: Blood Sample and Shipment Notification Form

Appendix C: CSF Sample and Shipment Notification Form

Appendix D: GUID Demographics Form
Appendix A: Rate of Centrifuge Worksheet

Please complete and return this form by fax or email to the NCRAD Project Manager if you have any questions regarding sample processing. The correct RPM will be sent back to you.

Submitter Information
Name: ___________________________  Site: ___________________________
Submitter e-mail: ___________________________

Centrifuge Information
Please answer the following questions about your centrifuge.

Centrifuge Type
Fixed Angle Rotor: ☐  Swing Bucket Rotor: ☐

Radius of Rotation (mm):
Determine the centrifuge’s radius of rotation (in mm) by measuring distance from the center of the centrifuge spindle to the bottom of the device when inserted into the rotor (if measuring a swing bucket rotor, measure to the middle of the bucket).

Calculating RPM from G-Force:

\[
RCF = \left( \frac{RPM}{1,000} \right)^2 \times r \times 1.118 \quad \Rightarrow \quad RPM = \sqrt{\frac{RCF}{r \times 1.118}} \times 1,000
\]

RCF = Relative Centrifugal Force (G-Force)
RPM = Rotational Speed (revolutions per minute)
R= Centrifugal radius in mm = distance from the center of the turning axis to the bottom of centrifuge

Comments:

Please send this form to NCRAD Study Coordinator

317-321-2003 (Fax)  alzstudy@iu.edu
Appendix B: Blood Sample and Shipment Notification Form

Please email or fax the form on or prior to the date of shipment.

To: Kelley Faber  
Email: alzstudy@iu.edu  
Fax: 317-321-2003  
Phone: 1-800-526-2839

From: ____________________________  
Phone: ____________________________  
Email: ____________________________

UPS tracking #: ____________________________

Study: APOE  
Sex: □ M □ F  
Year of Birth: ____________

APOE ID: ____________________________  
GUID: ____________________________  
PT ID: ____________________________ □ N/A

Blood Collection:

<table>
<thead>
<tr>
<th>Specimen Number (Last four digits)</th>
<th>Original volume drawn: ml</th>
</tr>
</thead>
<tbody>
<tr>
<td>#1</td>
<td>1</td>
</tr>
<tr>
<td>#2</td>
<td>2</td>
</tr>
</tbody>
</table>

PBMC (NaHep Tubes) □ N/A  
RNA (PAXgene™ Tube) □ N/A

Blood Processing:

Plasma & Buffy Coat (EDTA Tube)

<table>
<thead>
<tr>
<th>EDTA #1 specimen number (Last four digits):</th>
<th>EDTA #2 specimen number (Last four digits):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original blood volume of EDTA #1: ml</td>
<td>Original blood volume of EDTA #2: ml</td>
</tr>
<tr>
<td>Time spin started: [HHMM]</td>
<td>Duration of centrifuge: mins</td>
</tr>
<tr>
<td>Temp of centrifuge: °C</td>
<td>Rate of centrifuge: x g</td>
</tr>
<tr>
<td>Time aliquoted: [HHMM]</td>
<td>Number of 1.5 ml plasma aliquots created (purple cap, up to 6):</td>
</tr>
</tbody>
</table>

If applicable, volume of residual plasma aliquot (less than 1.5 ml in blue cap): ml

Buffy coat #1 specimen number (Last four digits):  
Buffy coat #1 volume: ml

Buffy coat #2 specimen number (Last four digits):  
Buffy coat #2 volume: ml

Time aliquots placed in freezer: [HHMM]  
Storage temperature of freezer: °C

Serum (Serum Tube) □ N/A

| Time spin started: [HHMM]                  | Duration of centrifuge: mins              |
| Temp of centrifuge: °C                     | Rate of centrifuge: x g                    |
| Time aliquoted: [HHMM]                     | Number of 1.5 ml serum aliquots created (red cap, up to 3): |

If applicable, volume of residual serum aliquot (less than 1.5 ml in blue cap): ml

Time aliquots placed in freezer: [HHMM]  
Storage temperature of freezer: °C

Notes:_____________________________________________________________________________________________

KIT BARCODE
# Appendix C: CSF Sample and Shipment Notification Form

Please email or fax the form on or prior to the date of shipment.

To: Kelley Faber    Email: alzstudy@iu.edu    Fax: 317-321-2003    Phone: 1-800-526-2839

---

## From: __________________________

## UPS tracking #: __________________________

## Phone: __________________________

## Email: __________________________

### Study: APOE  Sex: [ ] M [ ] F  Year of Birth: ____________

### APOE ID: __________________________

### GUID: __________________________

### PT ID: __________________________ [ ] N/A

---

## CSF Collection:

<table>
<thead>
<tr>
<th>Date of Draw: ____________ [MMDDYY]</th>
<th>Time of Draw: ____________ [HHMM]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date subject last ate: ____________ [MMDDYY]</td>
<td>Time subject last ate: ____________ [HHMM]</td>
</tr>
</tbody>
</table>

Collection process: [ ] Gravitational  OR  [ ] Pull

---

## CSF Processing:

<table>
<thead>
<tr>
<th>Time spin started: ____________ [HHMM]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration of centrifuge: _____ minutes</td>
</tr>
<tr>
<td>Temp of centrifuge: _____ °C</td>
</tr>
<tr>
<td>Rate of centrifuge: _____ x g</td>
</tr>
<tr>
<td>Total amount of CSF collected (ml): _____ ml</td>
</tr>
<tr>
<td>Time aliquoted: ____________ [HHMM]</td>
</tr>
<tr>
<td># of 1.5 ml CSF aliquots created: (Orange-capped cryovial) _____</td>
</tr>
<tr>
<td>If applicable, volume of CSF residual aliquot (less than 1.5 ml): (Blue-capped cryovial) _____ ml</td>
</tr>
<tr>
<td>If applicable, specimen number of residual aliquot tube: (Last four digits) ____________</td>
</tr>
<tr>
<td>Time frozen: ____________ [HHMM]</td>
</tr>
<tr>
<td>Storage temperature of freezer: _____ °C</td>
</tr>
</tbody>
</table>

---

### Notes:

_____________________________________________________________________________________________

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**Version (04.2024)**
Appendix D: GUID Demographics Form

Please be certain to collect the following demographic information to generate a Global Unique Identifier:

1. Complete legal given (first) name of participant at birth: ________________________________
2. Complete additional (middle) name or names at birth: ________________________________
3. Complete legal family (last) name of participant at birth: ________________________________
4. Suffix: ______________
5. Date of Birth: __________________
6. Name of city/municipality in which participant was born: ________________________________
7. Country of birth: ________________________________

GUID: ______________________________________